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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number 10/022,951

Filing Date 12/14/2001

First Named Inventor Richard B. Streeter

Art Unit 3731

Examiner Name Bradford C. Pantuck

Attorney Docket Number VIA-15

U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (Fizzoen)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
BCD	1	^{US-} 6258120	07-10-2001	McKenzie et al.			
BCP	2	^{US-} 6168579	01-02-2001	Tsugita			
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Examiner Signature	Bradford	Clantul	Date Considered	03-22-05

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This collection of information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

Complete if Known				
Application Number	10/022,951			
Filing Date	12/14/2001			
First Named Inventor	Richard B. Streeter			
Group Art Unit	3731			
Examiner Name	B. Pantuck			
Attomey Docket Number	VIA-15			

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1	U.S. Patent Document Kind Code ² (# known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-OD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
BCP	1	5,941,896	Kerr	08-24-1999			
4	2	5,947,995	Samuels	09-07-1999			
	3	5,954,741	Fox	09-21-1999			
	4	5,954,745	Gertler et al.	09-21-1999			
	5	5,957,949	Leonhardt et al.	09-28-1999			
	6	5,976,172	Homsma et al.	11-02-1999			
\vdash	7	5,980,555	Barbut et al.	11-09-1999			
	8	5,989,281	Barbut et al.	11-23-1999			
	9	3,671,979	Moulopoulos	06-27-1972			
BCD	10	3,996,938	Clark, III	12-14-1976			
17	11	4,056,854	Boretos et al.	11-08-1977			
	12	4,425,908	Simon	01-17-1984			
	13	4,527,549	Gabbay	07-09-1985			
	14	4,902,272	Milder et al.	02-20-1990			
	15	5,108,419	Reger et al.	04-28-1992			
	16	5,160,342	Reger et al.	11-03-1992			
<u> </u>	17	5,163,953	Vince	11-17-1992			
\vdash	18	5,254,097	Schock et al.	10-19-1993			
-	19	5,300,086	Gory et al.	04-05-1994			
BGP	20	5,324,304	Rasmussen	06-28-1994			

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Examiner Initials*	Cite No.1	Office ³	Foreign Patent Docur	nent Kind Code ^s (<i>if k</i> nown)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τé
BCO	21		EP 0408245		American Medical	01/16/1991		<u> </u>
Bep	22		WO 99/33414		Veseley	07/08/1999		
Bes	23	1 1	WO 99/15223		Cardeon Corp.	04/01/1999		
BEP	24		WO 00/47139		Heartport, Inc.	08/17/2000		+-
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Sheet of

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Application Number	10/022,951			
Filing Date	12/14/2001			
First Named Inventor	Richard B. Streeter			
Group Art Unit	3731			
Examiner Name	B. Pantuck			
Attorney Docket Number	VIA-15			

	U.S. PATENT DOCUMENTS							
Examiner Initials	Cile No.1	U.S. Patent Docum Kind C Number (# kno	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-OD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
BOD	25	5,329,942	Gunther et al.	07-19-1994				
7	26	5,370,685	Stevens	12-06-1994				
	27	5,397,351	Pavcnik et al.	03-14-1995				
	28	5,411,552	Andersen et al.	05-02-1995				
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	30	5,545,214	Stevens	08/13/1996				
	31	5,549,626	Miller et al.	08/27/1996				
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	33	5,571,215	Sterman et al.	11/05/1996				
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1	35	5,607,465	Camilli	03/04/1997				
	36	5,682,906	Sterman et al.	11/04/1997				
	37	5,702,368	Stevens et al.	12/30/1997				
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l	43	5,848,964	Samuels	12/15/1998				
BCP	44	5.855.597	Jayaraman	01/05/1999				

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Sheet 3 of 4

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Application Number 10/022,951				
Filing Date	12/14/2001			
First Named Inventor	Richard B. Streeter			
Group Art Unit	3731			
Examiner Name	B. Pantuck			
Attorney Docket Number	VIA-15			

			U.S. PATENT DOCL	JMENTS	
Examiner Initials	Cite No.1	U.S. Patent Documen Kind Cod Number (if known	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Bel	45	5,855,601	Bessler et al.	01-05-1999	
7	46	5,876,367	Kaganov et al.	03-02-1999	
	47	5,893,869	Barnhart et al.	04-13-1999	
	48	5,718,725	Sterman et al.	02-17-1998	
	49	5,910,154	Tsugita et al.	06-08-1999	
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	51	5,925,063	Khosravi	07-20-1999	
	52	5,928,261	Ruiz	07-27-1999	
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	54	5,549,665	Vesely et al.	08-27-1996	
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	57	6,371,970	Khosravi et al.	04-16-2002	
	58	6,309,399	Barbut et al.	10-30-2001	
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	60	6,334,869	Leonhardt et al.	01-01-2002	
	61	6,395,014	Macoviak et al.	05-28-2002	
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Examiner Initials*	Cite No.1	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	Т
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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known **Application Number** 10/022,951 Filing Date 12/14/2001 First Named Inventor Richard B. Streeter 3731 **Group Art Unit** Pantuck Examiner Name Attomey Docket Number VIA-15

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	_			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposlum, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
Вср	65	Antonatos PG, et al., Effect of the positioning of a balloon valve in the aorta on coronary flow during aortic regurgitation, J Thorac Cardiovasc Surg; 1984 Jul; 88(1): 128-33				
BY	66	Antonatos PG, et al., Intraventricular Pumping At The Mitral Ring In Mitral Regurgitation; Life Support Syst; 1985; 3 Suppl 1:167-71				
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BY	68	Frederiksen J, et al. Use Of A Counterpulsation Balloon As A Substitute For The Pulmonic Valve: A New Application; Ann Thorac Surg; 1986 Jun; 41(6): 616-21				
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ВСР	72	Cartwright RS, et al., Combined Replacement Of Aortic And Mitral Valves; J.A.M.A., April 7, 1962;86-90.				
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Examiner Signature	Bradfe	red	Mantul	Date Considered	03-22-05

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